

Test Report No. SHAEC2006926305 Date: 18 May 2020 Page 1 of 11

SUNMATE SEMICONDUCTOR CO.,LTMITED

28, YONGGONG ISTRD, YONG AN HSING, KAOHSIUNG HSIEN, TAIWAN

The following sample(s) was/were submitted and identified on behalf of the clients as: DIODE

SGS Job No.: SP20-009359 - SH

Client Ref. Information: DO-41,DO-204AL,DO-204AC,DO-201AD,R-6,17-02,KBP,KBJ,KPBC,KBU,GBU,

TO-220,TO-220AB,ITO-220,DO-35,DO-41,DO-15,DO-27,R-1,KBL,GBPC,GBJ,I

TO-220AB, ITO-220AC, TO-220AC, WOB, WOM

Date of Sample Received: 28 Apr 2020

Testing Period: 28 Apr 2020 - 12 May 2020

Test Requested: Selected test(s) as requested by client.

Test Method : Please refer to next page(s).

Test Results: Please refer to next page(s).

Conclusion: Based on the performed tests on submitted sample(s), the results of Cadmium,

Lead, Mercury, Hexavalent chromium, Polybrominated biphenyls (PBBs),

Polybrominated diphenyl ethers (PBDEs) and Phthalates such as

Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP) and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.

Signed for and on behalf of

SGS-CSTC Standards Technical Services (Shanghai) Co., Ltd.



Approved Signatory





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at https://www.sgs.com/en/Terms-and-Conditions.aspx, for electronic format documents, subject to Terms and Conditions for Electronic Documents at https://www.sgs.com/en/Terms-and-Conditions/Terms-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.



Test Report No. SHAEC2006926305 Date: 18 May 2020 Page 2 of 11

Test Results:

Test Part Description:

Specimen No.	SGS Sample ID	Description
SN1	SHA20-069263.001	Silvery metal
SN2	SHA20-069263.002	Copper metal
SN3	SHA20-069263.003	Black solid

Remarks:

- (1) 1 mg/kg = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected (< MDL)
- (4) "-" = Not Regulated

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method: With reference to IEC 62321-4:2013+AMD1:2017, IEC62321-5:2013, IEC62321-7-2:2017, IEC 62321-6:2015 and IEC62321-8:2017, analyzed by ICP-OES, UV-Vis and GC-MS.

Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>003</u>
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1000	mg/kg	2	ND
Mercury (Hg)	1000	mg/kg	2	ND
Hexavalent Chromium (Cr(VI))	1000	mg/kg	8	ND
Sum of PBBs	1000	mg/kg	-	ND
Monobromobiphenyl	-	mg/kg	5	ND
Dibromobiphenyl	-	mg/kg	5	ND
Tribromobiphenyl	-	mg/kg	5	ND
Tetrabromobiphenyl	-	mg/kg	5	ND
Pentabromobiphenyl	-	mg/kg	5	ND
Hexabromobiphenyl	-	mg/kg	5	ND
Heptabromobiphenyl	-	mg/kg	5	ND
Octabromobiphenyl	-	mg/kg	5	ND
Nonabromobiphenyl	-	mg/kg	5	ND
Decabromobiphenyl	-	mg/kg	5	ND
Sum of PBDEs	1000	mg/kg	-	ND
Monobromodiphenyl ether	-	mg/kg	5	ND
Dibromodiphenyl ether	-	mg/kg	5	ND



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions/Terms

3rdBuilding,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233



Test Report	No. SHAEC20069263	805	Date: 18 May 2020		Page 3 of 11	
Test Item(s)	<u>Limit</u>	<u>Unit</u>	MDL	<u>003</u>		
Tribromodiphenyl ether	-	mg/kg	5	ND		
Tetrabromodiphenyl ether	-	mg/kg	5	ND		
Pentabromodiphenyl ether	-	mg/kg	5	ND		
Hexabromodiphenyl ether	-	mg/kg	5	ND		
Heptabromodiphenyl ether	-	mg/kg	5	ND		
Octabromodiphenyl ether	-	mg/kg	5	ND		
Nonabromodiphenyl ether	-	mg/kg	5	ND		
Decabromodiphenyl ether	-	mg/kg	5	ND		
Di-butyl Phthalate (DBP)	1000	mg/kg	50	ND		
Benzyl Butyl Phthalate (BBP)	1000	mg/kg	50	ND		
Di-2-Ethyl Hexyl Phthalate (DEHP)	1000	mg/kg	50	ND		
Diisobutyl Phthalates (DIBP)	1000	mg/kg	50	ND		

Notes:

- (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863. IEC 62321 series is equivalent to EN 62321 series https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID: 1258637.25
- (2) The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.
- (3) The restriction of DEHP, BBP, DBP and DIBP shall not apply to toys which are already subject to the restriction of DEHP, BBP, DBP and DIBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method: With reference to IEC 62321-4:2013+AMD1:2017, IEC62321-5:2013 and IEC62321-7-1:2015, analyzed by ICP-OES, AAS and UV-Vis.

Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>	<u>002</u>
Cadmium (Cd)	100	mg/kg	2	ND	ND
Lead (Pb)	1000	mg/kg	2		ND
Mercury (Hg)	1000	mg/kg	2	ND	ND
Hexavalent Chromium (Cr(VI))▼	_	μg/cm²	0.10	ND	ND

中国·上海·徐汇区宜山路889号3号楼 邮编: 200233

Notes:

(1)The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863. IEC 62321 series is equivalent to EN 62321 series https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 (1443, Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 (1443, Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 (1443, 1443, 1443).

t HL (86-21) 61402594 f HL (86-21) 61156899

e sgs.china@sgs.com



No. SHAEC2006926305 Date: 18 May 2020 Page 4 of 11

ID:1258637,25

(2)▼ = a. The sample is positive for CrVI if the CrVI concentration is greater than 0.13 µg/cm². The sample coating is considered to contain CrVI

- b. The sample is negative for CrVI if CrVI is ND (concentration less than $0.10 \,\mu g/cm^2$). The coating is considered a non-CrVI based coating
- c. The result between $0.10 \ \mu g/cm^2$ and $0.13 \ \mu g/cm^2$ is considered to be inconclusive unavoidable coating variations may influence the determination Information on storage conditions and production date of the tested sample is unavailable

and thus Cr(VI) results represent status of the sample at the time of testing.

Lead

Test Method: Titration method

Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Lead (Pb)	0.1	%	_	92.52▲

Notes:

- (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863. IEC 62321 series is equivalent to EN 62321 series https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID: 1258637.25
- (2) According to the declaration from the client, Lead (Pb) in No.001 is exempted by EU RoHS directive 2011/65/EU based on |ANNEX III 7(a)|: Lead in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead).



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 (1443, Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 (1443, Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 (1443, 1443, 1443).



No. SHAEC2006926305

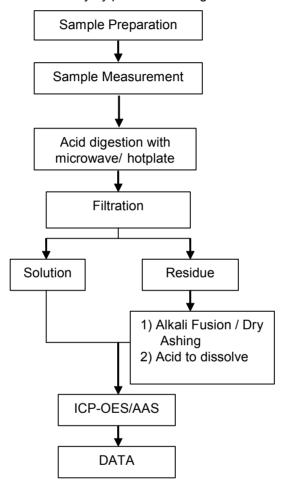
Page 5 of 11

Date: 18 May 2020

ATTACHMENTS

Elements (IEC62321) Testing Flow Chart

1) These samples were dissolved totally by pre-conditioning method according to below flow chart.





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, *Certificate of the company is a certificate of the company is a certif

3¹⁴Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮編: 200233



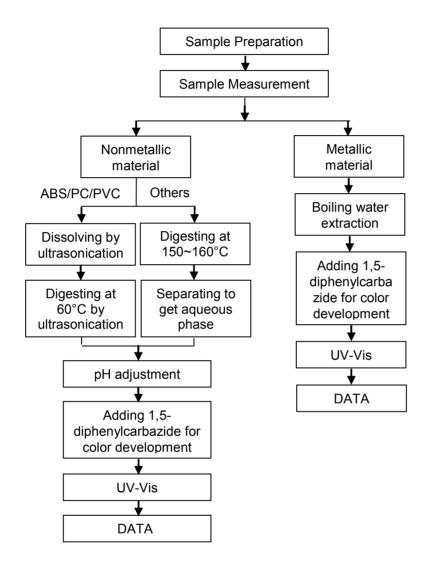
No. SHAEC2006926305

Page 6 of 11

Date: 18 May 2020

ATTACHMENTS

Hexavalent Chromium (Cr(VI)) Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

13rdBuilding,No.889 Yishan Road Xuhui District, Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮編: 200233



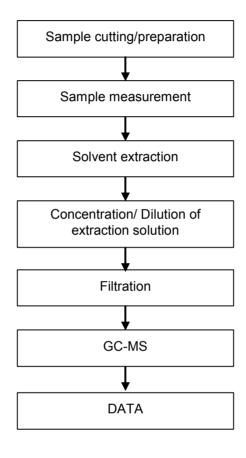
Test Report ATTACHMENTS

No. SHAEC2006926305

Page 7 of 11

Date: 18 May 2020

PBBs/PBDEs Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention:To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Attention:**To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Totack the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Totack the document is unlawful and offenders and the company is the contact of the company) tested . :tion report & certificate, please contact us at telephone: (86-755) 8307 1443,

3rd Building, No. 889 Yishan Road Xuhui District, Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233



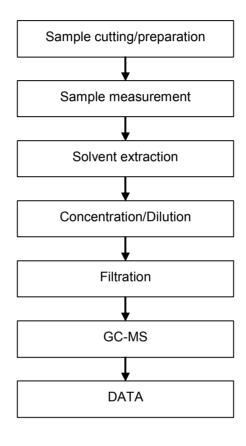
Test Report ATTACHMENTS

No. SHAEC2006926305

Page 8 of 11

Date: 18 May 2020

Phthalates Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention:To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Attention:**To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Totack the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Totack the document is unlawful and offenders and the company is the contact of the company s) tested . ction report & certificate, please contact us at telephone: (86-755) 8307 1443,

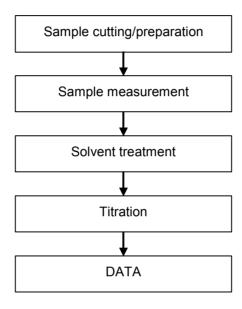
3rd Building, No. 889 Yishan Road Xuhui District, Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233



Test Report ATTACHMENTS

No. SHAEC2006926305 Date: 18 May 2020 Page 9 of 11

Titration Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention:To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Attention:**To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Totack the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Totack the document is unlawful and offenders and the company is the contact of the company o(s) tested . ection report & certificate, please contact us at telephone: (86-755) 8307 1443,

3rd Building, No. 889 Yishan Road Xuhui District, Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233

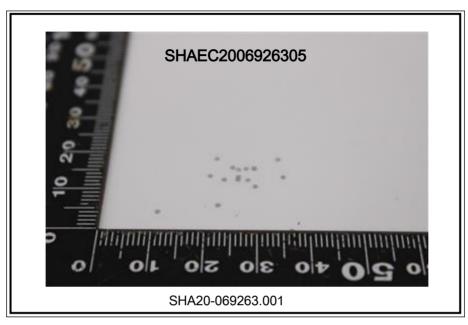


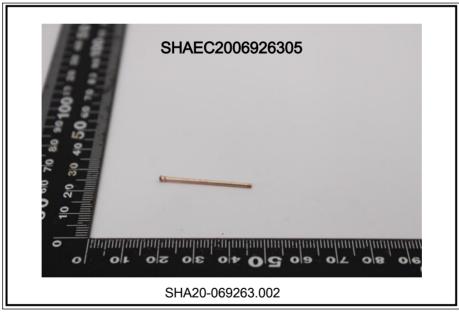
No. SHAEC2006926305

Page 10 of 11

Date: 18 May 2020

Sample photo:







Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention:*To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Attention:*To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, **Attention:*To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, *Totheck the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, ***Totheck the authenticity of testing /inspection report & certificate, please contact us at telephone: (86

3rd Building, No. 889 Yishan Road Xuhui District, Shanghai China 200233

中国・上海・徐汇区宜山路889号3号楼 邮编: 200233



No. SHAEC2006926305 Date: 18 May 2020 Page 11 of 11





SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions/Terms

3rd Building, No. 889 Yishan Road Xuhui District, Shanghai China 200233

中国・上海・徐汇区宜山路889号3号楼 邮编: 200233